



#### Workshops

- API synthesis: How to identify and control CQAs of starting materials
- Changes to Starting Materials specifications

# API Regulatory Starting Materials

Definition, manufacture, assessment and handling post-approval changes

6 - 7 October 2015, Hamburg, Germany

#### SPEAKERS:

**Marieke van Dalen**  
*Aspen Oss, The Netherlands*

**Cornelia Nopitsch-Mai**  
*Quality Assessor*

**Matthias Schneider**  
*BASF, Germany*

**Francois Vandeweyer**  
*Janssen Pharmaceutica, Belgium*

#### PROGRAMME:

- Defining an API Starting Material
- Starting materials in the CEP application procedure
- Re-defining regulatory starting materials and how to deal with it
- Risk assessment and criticality analyses
- Quality agreements
- Handling post approval changes
- Pre-starting material information



# API Regulatory Starting Materials

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## Objectives

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During this course **all relevant aspects** regarding API regulatory starting materials will be discussed. You will learn

- What has to be considered when a starting materials have to be defined
- How risk assessment can be applied
- Which aspects have to be taken into account when applying for a CEP
- How quality agreements should look like and
- How post approval changes can be handled.
- Furthermore you will have the opportunity to one of two parallel workshops about
- How to identify and control CQAs of starting materials in API synthesis
- How to handle Changes to Starting Materials specifications

## Background

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According to EU GMP Guide Part II (ICH Q7) an API starting material is a raw material, an intermediate, or an API that is used in the production of an API and is incorporated as a significant structural fragment into the structure of the final API. From this point on, appropriate GMP has to be applied to the API manufacturing steps.

In a marketing authorisation application the applicant has to describe in an ASMF the API manufacturing process. The “API regulatory starting material” has to be clearly designated and the rationale for the point at which the production of the API begins has to be documented. The same applies for a CEP application procedure.

In the last few years assessors have been more and more challenging the proposed regulatory starting materials. E.g. the definition of a starting material has been one of the top deficiencies in CEP applications. This is partly due to the fact that companies tend to describe shorter synthetic routes starting from complex starting materials. Moreover changes of critical quality attributes and the request from the authorities to re-define the starting material can create difficult situations regarding additional efforts and significant delays in the application process.

## Target Audience

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This course is designed for all persons involved in the manufacture of APIs. Furthermore, the seminar will be of interest to personnel from quality assurance, regulatory affairs both from API and pharmaceutical companies and to contract manufacturers.

## Programme

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### How to define API Regulatory Starting Materials: What do the guidelines tell us?

- API Regulatory Starting Materials – overview of guidelines
- Definition according to the guidelines
- Global guidelines (ICH Q7 and Q11)
- US, EU and Japan guidance
- How to use the term “significant structural fragment”
- Distinguishing starting materials from raw materials, reagents and solvent
- Selection of an appropriate Starting Material
- Starting Material specification

### API Regulatory Starting Materials – Challenges and practical implications

- How to use the elements of the guidelines in practice
- Is a global approach the best way forward?
- What is the level of detail to be provided?
- What are the consequences of the choice?

### Starting Materials and the CEP application procedure

- Regulatory background
- Scope of the CEP procedure
- Provisions of the Guideline PA/PH/CEP (14) 06 “Use of a CEP to describe a starting material in an application for another CEP”
- Important points to be considered for defining an API starting materials

### From starting materials to APIs: risk assessment and criticality analyses

- Criticality analysis methods (HAZOP, FMEA etc)
- Critical quality attributes (CQA) and critical process steps (CPS)
- Linking CQA and synthesis steps
- Critical impurities
- Critical raw materials
- Process criticality analysis; example

## Workshops

- API synthesis: How to identify and control CQAs of starting materials
- Changes to Starting Materials



### Quality agreements with RSM suppliers

- Objectives and contents of Quality Agreements
- Negotiations of Quality Agreements – who should be involved?
- Notification of changes
- Quality agreements concerning starting materials that have also non-pharmaceutical uses

### How to handle post-approval changes

- Changes to the pre-starting material information
- Re-definition of the starting material: possible or not
- Handling changes/variations when multiple stakeholders are involved

### APIC's perspective on Starting Materials

- APIC's position on
  - Definition of the SM
  - Risk management
  - Qualification of the SM supplier
  - Pre-SM information
  - Handling changes/variations

## Speakers



### Marieke van Dalen,

*Aspen Oss B.V., The Netherlands*

Marieke van Dalen is the senior scientific project leader within the Regulatory group dedicated to APIs. She is an active member of APIC, participating in the variations task force and the Japan task force, and frequently representing APIC in Interested Parties meetings organized by EMA, EDQM etc.



### Cornelia Nopitsch-Mai

*Quality Assessor, Germany*

Dr Cornelia Nopitsch-Mai studied pharmacy at the Free University Berlin and graduated in pharmaceutical biology. She is scientist at the Federal Institute for Drugs and Medical Devices in the assessment of the quality part of the dossier since 1991. Since 2000 she is assessor for the Certification Procedure (EDQM) in Strasbourg. She was member of the Technical Advisory Board (EDQM) from 2001 until 2010; in that time she was chairperson from 2005 until 2010.

### Matthias Schneider

*BASF, Germany*



### Francois Vandeweyer

*Janssen Pharmaceutica, Belgium*

Francois Vandeweyer joined Janssen Pharmaceutica (part of Johnson & Johnson) in 1981 in chemical development. Until 1995 increasing responsibilities within the organisation mainly in the Quality Control Unit. Starting from 1995 he joined the QA department. Several Senior Manager responsibilities. 2005 Sr Manager GMP Compliance Chemical Operations Belgium (sites Geel – Olen – Beerse). 2009 Director Global Compliance EMEA/AP for Johnson & Johnson.

## Social Event




On 6 October you are cordially invited to a social event. This is an excellent opportunity to share your experiences with colleagues from other companies in a relaxed atmosphere.



## Easy Registration

 **Reservation Form:**  
**CONCEPT HEIDELBERG**  
P.O. Box 10 17 64  
69007 Heidelberg  
Germany

 **Reservation Form:**  
**+ 49 6221 84 44 34**

 **e-mail:**  
**info@concept-heidelberg.de**

 **Internet:**  
**www.gmp-compliance.org**

 + 49 6221 84 44 34

Reservation Form (Please complete in full)

### API Regulatory Starting Materials

6 - 7 October 2015, Hamburg, Germany

Please choose ONE workshop:

- API synthesis: How to identify and control CQAs of starting materials  
 Changes to Starting Materials

Mr.  Ms.

Title, first name, surname

Company

Department

**Important: Please indicate your company's VAT ID Number**

**Purchase Order No, if applicable**

Street/P.O. Box

City

Zip Code

Country

Phone/Fax

E-Mail (please fill in)

If the bill-to-address deviates from the specifications on the right, please fill out here:

CONCEPT HEIDELBERG  
P.O. Box 101764  
Fax +49 (0) 62 21/84 44 34

D-69007 Heidelberg  
GERMANY

#### General terms and conditions

If you cannot attend the conference you have two options:

1. We are happy to welcome a substitute colleague at any time.

2. If you have to cancel entirely we must charge

the following processing fees: Cancellation

- until 2 weeks prior to the conference 10 %

- until 1 week prior to the conference 50 %

- within 1 week prior to the conference 100 %

CONCEPT HEIDELBERG reserves the right to change the materials, in-

structors, or speakers without notice or to cancel an event. If the event

must be cancelled, registrants will be notified as soon as possible and

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tions within 10 days after receipt of invoice.

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case of cancellation or non-appearance. If you cannot take part,

you have to inform us in writing. The cancellation fee will then be

calculated according to the point of time at which we receive your

message. In case you do not appear at the event without having

informed us, you will have to pay the full registration fee, even if

you have not made the payment yet. Only after we have received

your payment, you are entitled to participate in the conference

(receipt of payment will not be confirmed)! (As of January 2012)

**Privacy Policy:** By registering for this event, I accept the processing

of my Personal Data. Concept Heidelberg will use my data for the

processing of this order, for which I hereby declare to agree that my

personal data is stored and processed. Concept Heidelberg will only

send me information in relation with this order or similar ones. My

personal data will not be disclosed to third parties (see also the pri-

vacancy policy at [http://www.gmp-compliance.org/eca\\_privacy.html](http://www.gmp-compliance.org/eca_privacy.html)).

I note that I can ask for the modification, correction or deletion of my

data at any time via the contact form on this website.

#### Date

Tuesday, 6 October 2015, 9.00 - 17.45

(Registration and coffee 8.30 - 9.00)

Wednesday, 7 October 2015, 8.30 - 14.30

#### Venue

Barceló Hamburg

Ferdinandstraße 15

20095 Hamburg, Germany

Phone +49 (0)40 226362-0

Fax +49 (0)40 226362 999

#### Fees (per delegate plus VAT)

ECA Members € 1,590

APIC Members € 1,690

Non-ECA Members € 1,790

EU GMP Inspectorates € 895

The fee is payable in advance after receipt of invoice and includes conference documentation, social event and dinner on the first day, lunch on both days and all refreshments. VAT is reclaimable.

#### Accommodation

CONCEPT has reserved a limited number of rooms in the conference hotel. You will receive a room reservation form / POG when you have registered for the course. Reservation should be made directly with the hotel. Early reservation is recommended.

#### Conference language

The official conference language will be English.

#### Organisation and Contact

ECA has entrusted Concept Heidelberg with the organisation of this event.

CONCEPT HEIDELBERG

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D-69007 Heidelberg, Germany

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#### For questions regarding content:

Dr Gerhard Becker (Operations Director) at +49 (0) 62 21 / 84 44 65, or per e-mail at [becker@concept-heidelberg.de](mailto:becker@concept-heidelberg.de).

#### For questions regarding reservation, hotel, organisation etc.:

Ms Susanne Ludwig (Organisation Manager) at +49 (0) 62 21 / 84 44 44, or per e-mail at [ludwig@concept-heidelberg.de](mailto:ludwig@concept-heidelberg.de).